

#4

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

4441JO-1

U.S. APPLICATION NO. (If known, see 35 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/IN03/000290

INTERNATIONAL FILING DATE

August 28, 2003

PRIORITY DATE CLAIMED

August 29, 2002

TITLE OF INVENTION

"IMPROVED PROCESS FOR THE PREPARATION OF 5-SUBSTITUTED-1-(4-FLUOROPHENYL)-1,3-DIHYDRO-ISOBENZOFURANS"

APPLICANT(S) FOR DO/EO/US


NARAHARI BABU, Ambati; SRINIVAS GOUD, Vaddamari; GAONKAR, Santhosh Laxman; MANJUNATHA, Sulus G.; and KULKARNI, Ashok Krishna

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 10 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ A preliminary amendment.
13. ☐ An Application Data Sheet under 37 CFR 1.76.
14. ☐ A substitute specification.
15. ☐ A power of attorney and/or change of address letter.
16. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
17. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
18. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
19. ☒ Other items or information: Request For Correction of Official Record; Check; Postcard Receipt; Copy of Notification

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION PCT/IN03/000290		ATTORNEY'S DOCKET NUMBER 4441JO-1	
21. The following fees are submitted: <input type="checkbox"/> a) Basic national fee ..... \$300.00 <input type="checkbox"/> b) Examination fee ..... \$200.00 <input type="checkbox"/> c) Search fee ..... \$500.00 <div style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS = \$1,000.00</b></div>				Applicant use	Office use only
				\$	
				\$	
				\$	
				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets		Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	
	-100 =	/50 =		x \$250.00	\$
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$	130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$50.00	\$	
Independent claims	- 3 =		x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$	130.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$	130.00
11/03/2005 MKAYPAGH 00000062 10526302 01 FC:1617 130.00 DP				<b>Amount to be refunded:</b>	130 \$
				<b>Amount to be charged:</b>	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 130.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:  SHERIDAN ROSS P.C. 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141 Telephone: (303) 863-9700 Facsimile: (303) 863-0223					
				 SIGNATURE  Craig W. Mueller Registration No. 52,055	
<b>CUSTOMER NO. 22442</b>					

"EXPRESS MAIL" MAILING LABEL NUMBER: EV 655365015 US  
DATE OF DEPOSIT: OCTOBER 28, 2005

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST  
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE  
INDICATED ABOVE AND IS ADDRESSED TO BOX PCT, COMMISSIONER  
FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED OR PRINTED NAME: CAROL WERNER

SIGNATURE: *Carol Werner*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BABU, ET AL.

Int'l. Serial No.: PCT/IN03/000290

Int'l. Filing Date: August 28, 2003

Priority Date: August 29, 2002

U.S. Serial No.: 10/526,302

Atty. File No.: 4441JO-1

For: IMPROVED PROCESS FOR THE  
PREPARATION OF 5-SUBSTITUTED-1-(4-  
FLUOROPHENYL)-1,3-DIHYDRO-  
ISOBENZOFURANS

Group Art Unit:

Examiner:

REQUEST FOR CORRECTION OF  
THE OFFICIAL RECORD

**Office of Initial Patent Examinations****Customer Service Center**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Applicants note that the Notification of Missing Requirements indicates that the documents listed therein were filed on March 1, 2005. Applicants direct the Commissioner to the REQUEST FOR CORRECTION OF FILING DATE PURSUANT TO C.F.R. § 1.10 filed on April 15, 2005 and respectfully request that the official record of the above-identified application be corrected accordingly.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Craig W. Mueller

Registration No. 52,055

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: 10/28/05



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/526,302	Ambati Narahari Babu	4441JO-1

INTERNATIONAL APPLICATION NO.
PCT/IN03/00290

LA. FILING DATE	PRIORITY DATE
08/28/2003	08/29/2002

22442  
 SHERIDAN ROSS PC  
 1560 BROADWAY  
 SUITE 1200  
 DENVER, CO 80202

RECEIVED

SEP 07 2005

SHERIDAN, ROSS

CONFIRMATION NO. 3597  
 371 FORMALITIES LETTER  
 \*OC000000016905108\*  
 \*OC000000016905108\*

Date Mailed: 08/31/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/01/2005
- Copy of the International Search Report filed on 03/01/2005
- Copy of IPE Report filed on 03/01/2005
- Preliminary Amendments filed on 03/01/2005
- Request for Immediate Examination filed on 03/01/2005
- U.S. Basic National Fees filed on 03/01/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/526,302	PCT/IN03/00290	4441JO-1

FORM PCT/DO/EO/905 (371 Formalities Notice)